HIGHLY SELECTIVE *Y*-LACTONE SYNTHESES BY INTRAMOLECULAR **CARBENOID CARBON-HYDROGEN INSERTION IN RHODIUM(II) CARBOXYLATE AND RHODIUM(II) CARBOXAMIDE CATALYZED REACTIONS OF DIAZO ESTERS**

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Summary: Rhodium(II) acetate and rhodium(II) acetamide catalyzed decomposition of diazo esters forms Y-lactones in high yield and with exceptionally high regio- and diastereoselectivity.

Despite the convenience of their synthesis and the now well known ability of diazo ketones to undergo intramolecular C-H insertion reactions.^{1,2} diazo esters have rarely been successfully employed for these carbon-carbon bond forming reactions. Carbenoid decomposition of diazo esters, if anologous to that of diazo ketones, should provide a convenient methodology for the synthesis of highly substituted Y-lactones (eq. 1). However, diazoacetate esters do not undergo C-H insertion in copper catalyzed reactions to any meaningful extent,3 and even the

more viable diazomalonate esters produce Y-lactones in only low to moderate yields in the few examples that have been published.⁴ Recently, Adams and coworkers reported that an oxygen heteroatom activates the adjacent C-H bond for carbenoid insertion in rhodium(ll) acetate catalyzed reactions,5 and they have successfully exploited this process for the synthesis of 3(2H)-furanones.⁶ In the single example of an intramolecular C-H insertion reaction of a diazo ester catalyzed by $\text{Rh}_2(\text{OAc})_4$ and directed to the synthesis of pentalenolactone E,7 Cane and Thomas found the desired δ -lactone as the predominant product rather than the normally favored Y-lactone, whose formation was apparently stencally restricted. Capitalizing on the directive influences that can be achieved by variation of the bridging ligands of rhodium(II) carboxylates and rhodium(II) carboxamides, 8 we now report that catalytic carbenoid decomposition of diazo esters provides a convenient, general, and highly selective **methodology for the**

synthesis of Y-butyrolactones.

Diazo esters were prepared in high yield from the corresponding alcohols by condensation with diketene,⁹ diazo transfer using methanesulfonyl azide,¹⁰ and, for diazoacetate syntheses, deacylation by treatment with aqueous potassium hydroxide.¹¹ Treatment of 2.3.4trimethyl-3-pentyl diazoacetate $(1, Z = H)$ in dichloromethane at room temperature with $Rh_2(OAc)_4$ resulted in the production of nearly equal amounts of two γ -lactone products, 2 and 3 (eq. 2, $Z = H$). Reactions were performed by the controlled addition of the diazo compound to a dichloromethane solution containing 1.0 mol % of the rhodium(II) catalyst. Formation of fumarate and maleate esters was competitive with intramolecular C-H insenion and accounted for 16% of the 97% weight yield of the isolated product. Chromatographic separation of the catalyst on alumina followed by distillation of the reaction products allowed isolation of the chromatographically pure lactones in high yield.

The formation of 3 is surprising in view of the high selectivity for insertion into 3° C-H bonds that has been reported for cyclopentanone formation by intramolecular carbenoid insertion in copper and $\text{Rh}_2(\text{OAc})_4$ catalyzed decompositions of diazo carbonyl compounds.^{12,13} Taber and Ruckle, in particular, have noted the preference for insertion as $3^{\circ} > 2^{\circ} >> 1^{\circ}$ with no observed example of carbenoid reaction with a methyl group, and they explain these relative reactivities by electronic effects.¹³ In Rh₂(OAc)₄ catalyzed reactions of 1 (Z = H), insertion occurs with approximately statistical preference. However, by changing the catalyst from $Rh_2(OAc)_4$ to the less discriminate $Rh_2(pfb)_4$ (pfb = perfluorobutyrate)¹⁴ and to the electronically selective Rh₂(acam)₄ (acam = acetamide),⁸ significant manipulation of the product distribution could be achieved, and with $Rh_2(acam)_{\text{A}}$ as the catalyst 2 is formed as the sole product. Qualitatively similar results are obtained from catalytic decomposition of the acyl derivative (1, $Z =$ CH,CO) whose selectivity in carbenoid reactions is normally regarded to be higher than that of its deacylated analog and which is less prone to carbene dimer formation. Once again, Rh₂(pfb)₄ gives a nearly statistical distribution of products while Rh₂(acam)₄ catalyzes the exclusive formation of 2 ($Z = CH₃CO$).¹⁵

Extension of this methodology to 4 produced 5 in 85-88% yield, as the sole lactone product from $\text{Rh}_2(\text{OAc})_4$ or $\text{Rh}_2(\text{acam})_4$ catalyzed decompositions,¹⁶ but fumarate and maleate esters were the major products from the catalytic decomposition of 6 that was performed under

the same reaction conditions. With 7 competition between C-H insertion into 2° and 1° positions was observed (eq. 3), and 8 was favored in $Rh_2(pfb)_4$ catalyzed reactions (81% yield; 8/9 = 1.7)

while 9 was dominant in those promoted by either $Rh_2(OAc)_4$ (80% yield; 9/8 = 2.6) or $Rh₂(acam)₄$ (80% yield; 9/8 = 7.3). Based on results with 1 (Z = CH₃CO) and 7, relative reactivities for C-H insertion follow the order 3°>2°>1° that was reported by Taber and Ruckle,¹³ but with significantly enhanced numerical values (54:7.7:1.0 for $Rh_2(OAc)_4$). However, these relative reactivities are of no practical value in predicting the outcome of C-H insertion reactions in more complex systems where stereoelectronic or steric factors govern product formation. For example, Rh₂(OAc)₄ catalyzed decomposition of (1R,25,5R)-(-)-menthyl diazoacetoacetate (10) resulted in the exclusive formation of bicyclic Y-lactone 11 which was isolated in 80% yield. The trans ring fusion and acetyl group stereochemistry were established by NMR analysis (coupling constants of 10.7 and 12.4 Hz, respectively).17

High product yields and the exceptional regio- and stereoselectivity for Y-lactone formation afforded by rhodium(ll) catalysts in reactions with diazo esters provides a convenient methodology for remote functionalization. The scope and mechanism of this transformation are currently under investigation.

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- 15. Only one stereoisomer of 2 is observed by capillary GC and NMR analyses.
- 16. No evidence for β -lactone formation, despite the reported C-H activation by the ether oxygen.^{5,6} was obtained.
- 17. 'H NMR (CDCI,, 300 MHz): 6 3.71 (t, *J=* 10.7 Hz, C/-0), 3.44 (d, *J=* 12.4 Hz, CHCO), 2.41 (s, CH₃CO), 2.31 (d of t, *J* = 12.4, 10.7, 10.7 Hz, 1H), 2.00-1.88 (m, 1H), 1.84-1.62 (m, 3H), 1.58-1.38 (m, 1H). 1.23-1.00 (m, 2H), 0.95 (d, *J=* 7.0 Hz, 3H), 0.89 (d, *J=* 7.0 Hz, 3H), and 0.82 (d, J = 6.6 Hz, 3H); ¹³C NMR (CDCI₃, 75 MHz): δ 202.0, 172.5, 84.5, 58.7, 52.2, 46.6, 35.4, 34.4, 30.6, 28.6, 25.0, 19.8, 19.7, and 17.8; m.p. 98-99°C; $[\alpha]_0^{24}$ ^o= - 33.4° $(c = 0.59, CHCl₃)$. Satisfactory elemental analyses for this and other lactone products described in this communication were obtained.

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